Biomimetic Intradiol-Cleavage of Catechols with Incorporation of Both Atoms of O₂: The Role of the Vacant Coordination Site on the Iron Center

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This is the first example of model system for the active site of protocatechuate 3,4-dioxygenase to display intradiol-cleavage of catechols with incorporation of two oxygen atoms of $O₂$ promoted by iron complexes.

The metabolic conversion of aromatic compounds to aliphatic compounds is of fundamental importance in biology. Catechol dioxygenases are mononuclear non-heme iron enzymes that catalyze the oxygenation of catechols to aliphatic acids via cleavage of aromatic rings.¹ These enzymes can be divided into two types: intradiol-cleaving enzymes which break the catechol C1–C2 bond, and extradiol-cleaving enzymes which break the C2–C3 or C1–C6 bond. Since Hayaishi et al. have revealed that an intradiol-cleaving catechol dioxygenase, pyrocatechase, catalyzes the oxygenation of catechol to muconic acid with incorporation of two oxygen atoms of $O₂$ (but not of $H₂O$,² the oxygenation mechanisms of catechol dioxygenases have been studied through investigations of model systems³ as well as the enzymes themselves.⁴ However, details of the O_2 insertion and aromatic ring-cleavage reactions are not yet understood. Interestingly, recent crystallographic studies of a protocatechuic acid (PCA)-bound form of an intradiol-cleaving catechol dioxygenase, protocatechuate 3,4-dioxygenase (3,4- PCD ⁵ have revealed that the iron atom in the active site has octahedral geometry with PCA, His460, His462, Tyr408, and a vacant coordination site capable of accommodating an exogenous ligand such as an $O₂$ (Figure 1a). Herein, we report the first example of model system to display intradiol-cleavage of catechols with incorporation of two oxygen atoms of $O₂$ promoted by iron complexes (Figure 1b): [Fe^{III}(3L)(DBC)Cl] (PPh₄) $\{1, {}^{3}L = N-(2-hydroxyphenyl)-N-(2-pyridylmethyl)ben$ zylamine, DBC = 3,5-di-*tert*-butylcatecholato} and $[Fe^{III}(3L)(DBC)(DMF)]$ (2, DMF = *N,N*-dimethylformamide). The Cl– and DMF ligands of **1** and **2** are expected to be exchanged for incoming $O₂$ during the oxygenation.

The new tridentate ligand 3 L was designed and synthesized to mimic specific attributes of the iron coordination site in the PCA-bound form of 3,4-PCD. Complex **1** was synthesized from the reaction of FeCl₃ with ${}^{3}L$, DBC, triethylamine, and PPh₄Cl in DMF. The structure of 1 was unequivocally determined by X-ray crystallographic analysis.⁶ An ORTEP drawing of the anion of **1** is shown in Figure 1c. Complex **1** has a distorted octahedral coordination geometry with bonding parameters similar to those of the PCA-bound form of 3,4- PCD.⁵ Complex 1 has a trans arrangement of O1(phenolato group) and O2(DBC) atoms and a cis arrangement of O1(phenolato group) and O3(DBC) atoms, i.e., a meridional coordina-

Figure 1. a) Active site structure of PCA-bound form of 3,4-PCD. b) Complexes 1 (X = Cl) and 2 (X = DMF). c) ORTEP drawing of $[Fe^{III}(3L)(DBC)Cl]^-$ (the anion of 1). Selected bond lengths (Å): Fe1-O1 1.957(2), Fe1-O2 1.947(2), Fe1-03 1.949(1), Fe1-N1 2.239(2), Fe1-N2 2.358(2), Fe1-Cl1 $2.3572(6)$.

tion mode for the three O atoms analogous to the PCA-bound form of 3,4-PCD. The negative-ion ESI (electrospray ionization) mass spectrum of **1** in DMF shows a prominent signal at m/z 600.2 {relative intensity (*I*) = 100% in the range of m/z 400–800} which corresponds to [Fe(3L)(DBC)Cl]– ([**1**]–).

Complex **2** was synthesized from the reaction of **1** with AgOTf ($\text{OTf} = \text{CF}_3 \text{SO}_3^-$) in DMF. The positive-ion ESI mass spectrum of 2 shows a prominent signal at m/z 639.4 ($I = 100\%$) in the range of m/z 400–800) which corresponds to $[Fe^{3}L)(DBC)(DMF)+H]^{+}$ ($[2+H]^{+}$). To establish the existence of the DMF ligand in **2**, the same synthesis of **2** has also been carried out in DMF- d_7 . ESI-MS results show that the signal at m/z 639.4 shifts to m/z 646.4 {[Fe(³L)(DBC)(DMF- d_7) +H]⁺}, i.e., the labeled DMF is incorporated into **2**.

The UV–vis spectra of **1** and **2** in DMF show two features ${\bf 1}: \lambda_{\text{max}} = 708 \text{ nm } (\varepsilon = 3460 \text{ M}^{-1} \text{cm}^{-1}, \text{ M} = \text{mol } \text{L}^{-1}) \text{ and } 464$ (3660) and **2**: $\lambda_{\text{max}} = 710$ (3990) and 466 (4260)} which are assigned to ligand-to-metal charge transfer (LMCT) transitions by analogy to those observed in the spectra of other catechol bound complexes.3 EPR experiments of **1** and **2** observed in DMF at 77 K indicate that they are high-spin ferric complexes.

We recently reported on the oxygenation ability of a catecholbound iron(III) complex with a tetradentate ligand, [Fe^{III}(⁴L)(DBC)] ${3, 4L = 2-hydroxyphenyl-bis(2-pyridylmethyl)amine}.^{3a} In DMF$ at 25 °C, complex 3 reacts with O_2 to yield intradiol-cleavage products, 3,5-di-*tert*-butyl-1-oxacyclohepta-3,5-diene-2,7-dione (**4**, 73% yield based on DBC, Table 1) and 3,5-di-*tert*-butyl-5-(carboxymethyl)-2-furanone (5, 26%).^{3a} GC–MS and ESI-MS measurements show that only one oxygen atom of ${}^{18}O_2$ is incorporated into **4** and **5** upon the reaction of **3** with $^{18}O_2$. The hydrolysis of **4** eventually affords **5** containing one 18O atom.

Table 1. Yields/% (based on DBC) of products for the reactions of complexes 1, 2, and 3 with O_2 in DMF at 25 °C.^a

^a Conditions: 1 or 2 (15 μ mol), a large excess of O₂ (1 atm), DMF (1.0 mL), 25 °C. After 24 hours, the reaction was quenched by an addition of 2 M HCl (10 mL). Products were extracted from the aqueous DMF solution with diethyl ether (20 mL \times 3). Products 4, 6, and 7 were determined by ¹H NMR and GC-MS and 5 was determined by ¹H NMR and ESI-MS. The isotopic composition (¹⁸O: \bullet) of 4, 6, and 7 was determined by GC-MS and that of 5 was determined by ESI-MS. ^b Compound 5 containing one ¹⁸O atom. ^c Compound 5 containing two ¹⁸O atoms.

The oxygenation ability of 1 and 2 in DMF at 25° C is shown in Table 1. Complex 1 reacts with $O₂$ to yield intradiolproducts **5** (18% yield based on DBC) and 3,5-di-*tert*-butyl-5- (*N*,*N*-dimethylamidomethyl)-2-furanone (**6**, 34% yield) whose structure was determined by X -ray analysis⁷ and a non-intradiolcleavage product 3,5-di-*tert*-butyl-1,2-benzoquinone (**7**, 45% yield). Complex 2 reacts with O_2 to yield 5 (27% yield) and 6 (70% yield). The negative-ion ESI mass spectra of **5** show that two oxygen atoms of $^{18}O_2$ are incorporated into 5 upon the reaction of 1 or 2 with $^{18}O_2$.

Furthermore, the kinetic study was followed by monitoring the disappearance of the lower energy LMCT bands $\{\lambda_{\text{max}} =$ 708 nm (for **1**) or 710 (for **2**) in DMF}. The reaction rates $(k_{0}$ $= k_{\text{obs}}/[O_2]$ in DMF at 25 °C)⁸ of 1 and 2 are 1.80(8) $\times 10^{-2}$ and $2.15(9) \times 10^{-2} \text{ M}^{-1}\text{s}^{-1}$, respectively. The oxygenation reactions (decay of **1** and **2**) exhibit pseudo-first-order kinetics.

In summary, what makes **1** and **2** different from **3** is that the intradiol-cleavage product **5** derived from **1** and **2** is shown to incorporate both atoms of O_2 . However, the ¹⁸O-labeling experiments of **3** show that only one label is found in **5**. Thus, depending on the ligands used, either one or two oxygen atom(s) of $O₂$ are incorporated into the cleavage product. We attribute these results to the presence and absence of the $O₂$ binding site in these complexes.

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- 6 Crystallographic data for **1**: C₅₇H₅₇ClFeN₂O₃P, $M_r = 940.36$, triclin-
ic, space group *P*1 (No. 2), *a* = 9.7300(9), *b* = 14.0200(5), *c* = 18.260(2) Å, $\alpha = 78.040(2)$, $\beta = 78.300(1)$, $\gamma = 86.950(1)$ ^o, $V =$ 2386.1(4) Å³, $Z = 2$, $\rho_{\text{caled}} = 1.309 \text{ g cm}^{-3}$, $\mu(\text{Mo K}\alpha) = 4.53 \text{ cm}^{-1}$, $R = 0.042$ and $R_w = 0.\overline{107}$, 10573 reflections used, 814 parameters.
Crystallographic data for **1** have been deposited with the Cambridge Crystallographic Data Center as supplementary publication no. CCDC-153553.
- 7 The details of the crystal structure of **6** will be reported elsewhere in a full paper.
- 8 The solubility of O_2 (1 atm) in DMF at 25 °C is 4.86 mM. Japan Chemical Society, *Kagaku-Binran Basic Part II*, 2nd edition, Maruzen, Tokyo, 775 (1975).